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DOCKET NO.: AREN-0308

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Ruoping Chen et al.

Serial No.: 09/995,225

Group Art Unit: 1646

Filing Date: November 26, 2001

Examiner: Unknown

For: ENDOGENOUS AND NON-ENDOGENOUS VERSIONS OF HUMAN G  
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DATE OF DEPOSIT:

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Gwilym J.O. Attwell  
TYPED NAME: Gwilym J.O. Attwell  
REGISTRATION NO.: 45,449

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).



In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
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- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
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- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- EXCEPT THAT:
- ☒ In view of the voluminous nature of references **EV, EW and GX**, and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☒ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

☒ Copies of references **AA-EQ, FC-GN, GP, G Q and GY-HJ** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. **09/170,496**, filed **October 13, 1998**.

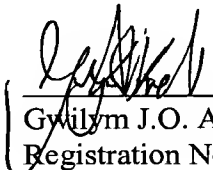
☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

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English language abstracts were provided for those listed references which are not in the English language.

Date: *April 25, 2002*

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>AREN-0308</b>	Serial No. <b>09/995,225</b>	TECH CENTER 1600/2900 MAY 08 2002
		Applicant <b>Ruoping Chen, et al.</b>		
		Filing Date <b>November 26, 2001</b>	Group <b>1646</b>	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>				
	<b>AA</b>	Alla, S.A. et al., "Extracellular domains of the bradykinin B2 receptor involved in ligand binding and agonist sensing defined by anti-peptide antibodies," <i>J. Biol. Chem.</i> , <b>1996</b> , <i>271</i> , 1748-1755		
	<b>AB</b>	Advenier, C. et al., "Effects on the isolated human bronchus of SR 48968, a potent and selective nonpeptide antagonist of the neurokinin A (NK <sub>2</sub> ) receptors," <i>Am. Rev. Respir. Dis.</i> , <b>1992</b> , <i>146</i> (5, Pt. 1), 1177-1181		
	<b>AC</b>	Alexander, W.S. et al., "Point mutations within the dimer interfact homology domain of c-Mpl induce constitutive receptor activity and tumorigenicity," <i>EMBO J.</i> , <b>1995</b> , <i>14</i> (22), 5569-5578		
	<b>AD</b>	Arvanitakis, L. et al., "Human herpesvirus KSHV encodes a constitutively active G-protein-coupled receptor linked to cell proliferation," <i>Nature</i> , <b>1997</b> , <i>385</i> , 347-349		
	<b>AE</b>	Barker, E.L. et al., "Constitutively active 5-hydroxytryptamine <sub>2C</sub> receptors reveal novel inverse agonist activity of receptor ligands," <i>J. Biol. Chem.</i> , <b>1994</b> , <i>269</i> (16), 11687-11690		
	<b>AF</b>	Baxter, G., "5-HT <sub>2</sub> receptors: a family re-united?" <i>Trends Pharmacol. Sci.</i> , <b>1995</b> , <i>16</i> , 105-110		
	<b>AG</b>	Besmer, P. et al., "A new acute transforming feline retrovirus and relationship of its oncogene <i>v-kit</i> with the protein kinase gene family," <i>Nature</i> , <b>1986</b> , <i>320</i> , 415-421		
	<b>AH</b>	Blin, N. et al., "Mapping of single amino acid residues required for selective activation of G <sub>q/11</sub> by the m3 muscarinic acetylcholine receptor," <i>J. Biol. Chem.</i> , <b>1995</b> , <i>270</i> , 17741-17748		
	<b>AI</b>	Bond, R.A. et al., "Inverse agonists and G-protein-coupled receptors," in <i>Receptor-Based Drug Design</i> , Leff, P. (ed.), New York, M. Dekker, <b>1998</b> , 363-377		
	<b>AJ</b>	Boone, C. et al., "Mutations that alter the third cytoplasmic loop of the a-factor receptor lead to a constitutive and hypersensitive phenotype," <i>Proc. Natl. Acad. Sci. USA</i> , <b>1993</b> , <i>90</i> (21), 9921-9925		
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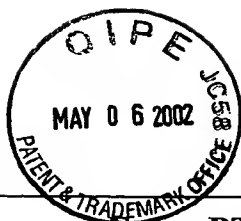
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	<b>AK</b>	Burststein, E.S. et al., "Constitutive activation of chimeric m2/m5 muscarinic receptors and delineation of G-protein coupling selectivity domains," <i>Biochem. Pharmacol.</i> , <b>1996</b> , 51(4), 539-544		
	<b>AL</b>	Burststein, E.S. et al., "Amino acid side chains that define muscarinic receptor/G-protein coupling. Studies of the third intracellular loop," <i>J. Biol. Chem.</i> , <b>1996</b> , 271(6), 2882-2885		
	<b>AM</b>	Burststein, E.S. et al., "Constitutive activation of muscarinic receptors by the G-protein G <sub>q</sub> ," <i>FEBS Lett.</i> , <b>1995</b> , 363(3), 261-263		
	<b>AN</b>	Bylund, D., "International union of pharmacology nomenclature of adrenoceptors," <i>Pharmacol. Rev.</i> , <b>1994</b> , 46, 121-136		
	<b>AO</b>	Casey, C. et al., "Constitutively active mutant 5-HT <sub>2A</sub> serotonin receptors: inverse agonist activity of classical 5HT <sub>2A</sub> antagonists," <i>Soc. Neurosci.</i> , <b>1996</b> , Abstract #699.10		
	<b>AP</b>	Cheatham, B. et al., "Substitution of the <i>erbB-2</i> oncoprotein transmembrane domain activates the insulin receptor and modulates the action of insulin-receptor substrate 1," <i>Proc. Natl. Acad. Sci. USA</i> , <b>1993</b> , 90, 7336-7340		
	<b>AQ</b>	Chen, J. et al., "Tethered Ligand Library for Discovery of Peptide Agonists," <i>J. Biol. Chem.</i> , <b>1995</b> , 270, 23398-23401		
	<b>AR</b>	Chen, T.S. et al., "Microbial hydroxylation and glucuronidation of the angiotensin II (AII) receptor antagonist MK 954," <i>J. Antibiot. (Tokyo)</i> , <b>1993</b> , 46(1), 131-134		
	<b>AS</b>	Chen, W. et al., "A colorimetric assay for measuring activation of G <sub>s</sub> - and G <sub>q</sub> -coupled signaling pathways," <i>Anal. Biochem.</i> , <b>1995</b> , 226(2), 349-354		
	<b>AT</b>	Chidiac, P. et al., "Inverse agonist activity of β-adrenergic antagonists," <i>J. Pharm. Exp. Ther.</i> , <b>1994</b> , 45, 490-499		
	<b>AU</b>	Clozel, M. et al., "In vivo pharmacology of Ro 46-2005, the first synthetic nonpeptide endothelin receptor antagonist: implications for endothelin physiology," <i>J. Cardiovas. Pharmacol.</i> , <b>1993</b> , 22(Suppl. 8), S377-S379		
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	<b>AV</b>	Collesi, C. et al., "A splicing variant of the <i>RON</i> transcript induces constitutive tyrosine kinase activity and an invasive phenotype," <i>Mol. Cell. Biol.</i> , <b>1996</b> , 16(2), 5518-5526		
	<b>AW</b>	Cooper, C.S. et al., "Molecular cloning of a new transforming gene from a chemically transformed human cell line," <i>Nature</i> , <b>1984</b> , 311, 29-33		
	<b>AX</b>	De Dios, I. et al., "Effect of L-364,718 (CCK Receptor Antagonist) on Exocrine Pancreatic Secretion of Hydrocortison-Treated Rats," <i>Pancreas</i> , <b>1994</b> , 9(2), 212-218		
	<b>AY</b>	Desbois-Mouthon, C. et al., "Deletion of Asn <sup>281</sup> in the $\alpha$ -subunit of the human insulin receptor causes constitutive activation of the receptor and insulin desensitization," <i>J. Clin. Endocrinol. Metab.</i> , <b>1996</b> , 81(2), 719-727		
	<b>AZ</b>	Di Renzo, M.F. et al., "Expression of the Met/HGF receptor in normal and neoplastic human tissues," <i>Oncogene</i> , <b>1991</b> , 6(11), 1997-2003		
	<b>BA</b>	Di Renzo, M.F. et al., "Overexpression of the c-MET/HGF receptor gene in human thyroid carcinomas," <i>Oncogene</i> , <b>1992</b> , 7, 2549-2553		
	<b>BB</b>	Duprez, L. et al., "Germline mutations of the thyrotropin receptor gene cause non-autoimmune autosomal dominant hyperthyroidism," <i>Nature Genetics</i> , <b>1994</b> , 7, 396-401		
	<b>BC</b>	Eggerickx, D. et al., "Molecular Cloning of an Orphan G-Protein-Coupled Receptor that Constitutively Activates Adenylate Cyclase," <i>Biochem. J.</i> , <b>1995</b> , 309, 837-843		
	<b>BD</b>	Evans, B.E. et al., "Orally Active, Nonpeptide Oxytocin Antagonists," <i>J. Med. Chem.</i> , <b>1992</b> , 35, 3919-3927		
	<b>BE</b>	Fu, M. et al., "Functional autoimmune epitope on $\alpha_1$ -adrenergic receptors in patients with malignant hypertension," <i>Lancet</i> , <b>1994</b> , 344, 1660-1663		
	<b>BF</b>	Furitsu, T. et al., "Identification of Mutations in the Coding Sequence of the Proto-oncogene c-kit in a Human Mast Cell Leukemia Cell Line Causing Ligand-independent Activation of c-kit Product," <i>J. Clin. Invest.</i> , <b>1993</b> , 92, 1736-1744		
	<b>BG</b>	Gellai, M. et al., "Nonpeptide Endothelin Receptor Antagonists V: Prevention and Reversal of Acute Renal Failure in the Rat by SB 209670," <i>J. Pharm. Exp. Therap.</i> , <b>1995</b> , 275(1), 200-206		
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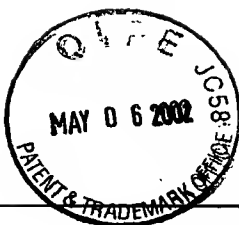
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	<b>BH</b>	Gitter, B. et al., "Pharmacological Characterization of LY303870: A Novel Potent and Selective Nonpeptide Substance P (Neurokinin-1) Receptor Antagonist," <i>J. Pharm. Exp. Therp.</i> , <b>1995</b> , 275(2), 737-744	
	<b>BI</b>	Gouilleux-Gruart, V. et al., "STAT-Related Transcription Factors are Constitutively Activated in Peripheral Blood Cells from Acute Leukemia Patients," <i>Blood</i> , <b>1996</b> , 87(5), 1692-1697	
	<b>BJ</b>	Hansson, J.H. et al., "Hypertension caused by a truncated epithelial sodium channel $\gamma$ subunit: genetic heterogeneity of Liddle syndrome," <i>Nat. Genet.</i> , <b>1995</b> , 11(1), 76-82	
	<b>BK</b>	Hasegawa, H. et al., "Two Isoforms of the Prostaglandin E Receptor EP3 Subtype Different in Agonist-independent Constitutive Activity," <i>J. Biol. Chem.</i> , <b>1996</b> , 271(4), 1857-1860	
	<b>BL</b>	Hendler, F. et al., "Human Squamous Cell Lung Cancers Express Increased Epidermal Growth Factor Receptors," <i>J. Clin. Invest.</i> , <b>1984</b> , 74, 647-651	
	<b>BM</b>	Herrick-Davis, K. et al., "Constitutively Active 5HT <sub>2C</sub> Serotonin Receptor Created by Site-Directed Mutagenesis," <i>Soc. Neurosci.</i> , Abstract No. 699.18	
	<b>BN</b>	Hieble, J., "International union of pharmacology. X. Recommendation for nomenclature of 1-adrenoceptors," <i>Pharm. Rev.</i> , <b>1995</b> , 47, 267-270	
	<b>BO</b>	Hill, S., "Distribution, Properties, and Functional Characteristics of Three Classes of Histamine Receptor," <i>Am. Soc. Pharm. Exp. Therap.</i> , <b>1990</b> , 42(1), 45-83	
	<b>BP</b>	Högger, P. et al., "Activating and Inactivating Mutations in - and C-terminal i3 Loop Junctions of Muscarinic Acetylcholine Hm1 Receptors," <i>J. Biol. Chem.</i> , <b>1995</b> , 270(13), 7405-7410	
	<b>BQ</b>	Ikeda, H. et al., "Expression and Functional Role of the Proto-oncogene <i>c-kit</i> in Acute Myeloblastic Leukemia Cells," <i>Blood</i> , <b>1991</b> , 78(11), 2962-2968	
	<b>BR</b>	Imura, R. et al., "Inhibition by HS-142-1, a novel nonpeptide atrial natriuretic peptide antagonist of microbial origin, of atrial natriuretic peptide-induced relaxation of isolated rabbit aorta through the blockade of guanylyl cyclase-linked receptors," <i>Mol. Pharm.</i> , <b>1992</b> , 42, 982-990	
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<b>BS</b>	Jakubik, J. et al., "Constitutive activity of the M <sub>1</sub> -M <sub>4</sub> subtypes of muscarinic receptors in transfected CHO cells and of muscarinic receptors in the heart cells revealed by negative antagonists," <i>FEBS Letts.</i> , <b>1995</b> , 377, 275-279		
<b>BT</b>	Kjelsberg, M.A. et al., "Constitutive activation of the $\alpha_{1B}$ -adrenergic receptor by all amino acid substitutions at a single site," <i>J. Biol. Chem.</i> , <b>1992</b> , 267(3), 1430-1433		
<b>BU</b>	Knapp, R. et al., "Molecular biology and pharmacology of cloned opioid receptors," <i>FASEB J.</i> , <b>1995</b> , 9, 516-525		
<b>BV</b>	Kosugi, S. et al., "Characterization of heterogeneous mutations causing constitutive activation of the luteinizing hormone receptor in familial male precocious puberty," <i>Human Mol. Genetics</i> , <b>1995</b> , 4(2), 183-188		
<b>BW</b>	Kosugi, S. et al., "Identification of Thyroid-Stimulating Antibody-Specific Interaction Sites in the N-Terminal Region of the Thyrotropin Receptor," <i>Mol. Endocrinology</i> , <b>1993</b> , 7, 114-130		
<b>BX</b>	Kraus, M. et al., "Demonstration of ligand-dependent signaling by the <i>erbB-3</i> tyrosine kinase and its constitutive activation in human breast tumor cells," <i>Proc. Natl. Acad. Sci. USA</i> , <b>1993</b> , 90, 2900-2904		
<b>BY</b>	Kudlacz, E. et al., "In Vitro and In Vivo Characterization of MDL 105,212A, a Nonpeptide NK-1/NK-2 Tachykinin Receptor Antagonist," <i>J. Pharm. Exp. Therap.</i> , <b>1996</b> , 277(2), 840-851		
<b>BZ</b>	Kuriu, A. et al., "Proliferation of Human Myeloid Leukemia Cell Line Associated with the Tyrosine-Phosphorylation and Activation of the Proto-oncogene <i>c-kit</i> Product," <i>Blood</i> , <b>1991</b> , 78(11), 2834-2840		
<b>CA</b>	Labbé-Jullié, C. et al., "Effect of the nonpeptide neurotensin antagonist, SR 48692, and two enantiomeric analogs, SR 48527 and SR 49711, on neurotension binding and contractile responses in guinea pig ileum and colon," <i>J. Pharm. Exp. Therap.</i> , <b>1994</b> , 271(1), 267-276		
<b>CB</b>	Latronico, A. et al., "A novel mutation of the luteinizing hormone receptor gene causing male gonadotropin-independent precocious puberty," <i>J. Clin. Endocrinol. Metabl.</i> , <b>1995</b> , 80(8), 2490-2494		
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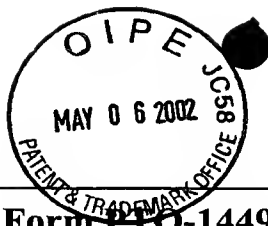


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CC	Laue, L. et al., "Genetic heterogeneity of constitutively activating mutations of the human luteinizing hormone receptor in familial male-limited precocious puberty," <i>Proc. Natl. Acad. Sci USA</i> , <b>1995</b> , 92, 1906-1910	
CD	Løvlie, R. et al., "The Ca <sup>2+</sup> -sensing receptor gene (PCAR1) mutation T151M in isolated autosomal dominant hypoparathyroidism," <i>Hum. Genet</i> , <b>1996</b> , 98, 129-133	
CE	Lefkowitz, R. et al., "Constitutive activity of receptors coupled to guanine nucleotide regulatory proteins," <i>Trends Pharmacol. Sci.</i> , <b>1993</b> , 14, 303-307	
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CG	Liu, C. et al., "Overexpression of c-met proto-oncogene but not epidermal growth factor receptor or c-erbB-2 in primary human colorectal carcinomas," <i>Oncogene</i> , <b>1992</b> , 7, 181-185	
CH	Liu, J. et al., "Molecular mechanisms involved in muscarinic acetylcholine receptor-mediated G protein activation studied by insertion mutagenesis," <i>J. Biol. Chem.</i> , <b>1996</b> , 271(11), 6172-6178	
CI	Lonardo, F. et al., "The normal erbB-2 product is an atypical receptor-like tyrosine kinase with constitutive activity in the absence of ligand," <i>New Biologist</i> , <b>1990</b> , 2(11), 992-1003	
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CK	Mann, J. et al., "Increased serotonin <sub>2</sub> and β-adrenergic receptor binding in the frontal cortices of suicide victims," <i>Arch. Gen. Psychiatry</i> , <b>1986</b> , 43, 954-959	
CL	Martone, R.L. et al., "Human CRF receptor chimeras: Mapping of ligand binding determinants," 26th Meeting of the Society of Neuroscience, Washington, D.C. November 16-21, <b>1996</b> , Abstract No. 609.8	
CM	Magnusson, Y. et al., "Autoimmunity in idiopathic dilated cardiomyopathy," <i>Circulation</i> , <b>1994</b> , 89, 2760-2767	

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	<b>CN</b>	Matus-Leibovitch, N. et al., "Truncation of the thyrotropin-releasing hormone receptor carboxyl tail causes constitutive activity and leads to impaired responsiveness in <i>Xenopus</i> Oocytes and AtT20 Cells," <i>J. Biol. Chem.</i> , <b>1995</b> , 270(3), 1041-1047		
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	<b>GD</b>	PCT International Search Report dated September 19, 2001		
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	<b>GF</b>	Rudinger, J. et al., <i>Peptide Hormones</i> , ed. Parson, J.A., University Park Press, 1993, Baltimore, pages 1-7		
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>		



<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>AREN-0308</b>	Serial No. <b>09/995,225</b>
	Applicant <b>Ruoping Chen, et al.</b>	
	Filing Date <b>November 26, 2001</b>	Group <b>1646</b>

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	<b>GG</b>	5,514,578	05/07/96	Hogness et al.	435	240.2
	<b>GH</b>	5,532,157	07/02/96	Fink	435	240.2
	<b>GI</b>	5,573,944	11/12/96	Kirschner et al.	435	252.3
	<b>GJ</b>	5,639,616	06/17/97	Liao et al.	435	7.1
	<b>GK</b>	5,750,353	05/12/98	Kopin et al.	435	7.21
	<b>GL</b>	5,861,309	01/19/99	Bard, et al.	135	325
	<b>GM</b>	5,891,720	04/06/99	Moore, et al.	435	325
	<b>GN</b>	5,955,308	09/21/99	Bergsma, et al.	435	69.1

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	<b>GO</b>	2,135,253	05/08/96	Canada		
	<b>GP</b>	WO 97/11159	09/20/96	PCT		
	<b>GQ</b>	WO 97/21731	06/19/97	PCT		
	<b>GR</b>	WO 98/00552	01/08/98	PCT		
	<b>GS</b>	WO 98/34948	08/13/98	PCT		
	<b>GT</b>	WO 98/38217	09/03/98	PCT		
	<b>GU</b>	WO 98/46995	10/22/98	PCT		
	<b>GV</b>	WO 99/24569	05/20/99	PCT	X abstract	
	<b>GV</b>	WO 99/52927	10/21/99	PCT		
	<b>GX</b>	WO 00/22129	04/20/00	PCT		

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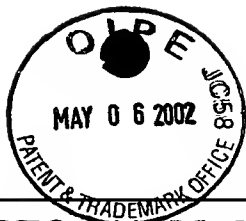
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	Applicant <b>Ruoping Chen, et al.</b>	
	Filing Date <b>November 26, 2001</b>	Group <b>1646</b>

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation YES      NO	
	<b>GY</b>	WO 01/14577 A1	03/01/01	PCT		
	<b>GZ</b>	WO 01/16159 A1	03/08/01	PCT		
	<b>HA</b>	WO 01/31014 A2	05/03/01	PCT		
	<b>HB</b>	WO 01/36471 A2	05/25/01	PCT		
	<b>HC</b>	0 612 845 A2	08/31/94	EPO		
	<b>HD</b>	0 878 542 A2	11/18/98	EPO		
	<b>HE</b>	0 892 051 A2	01/20/99	EPO		
	<b>HF</b>	1 090 989 A1	04/11/01	EPO		
	<b>HG</b>	1 094 076 A1	04/25/01	EPO		
	<b>HH</b>	8-245697	09/24/99	Japan	X abstract	
	<b>HI</b>	11-32770	02/09/99	Japan	X abstract	
	<b>HJ</b>	11-98988	09/13/99	Japan	X abstract	
	<b>HK</b>	WO 96/05302	02/22/96	PCT		
	<b>HL</b>	WO 98/29439	07/09/98	PCT		
	<b>HM</b>	WO 98/46620	10/22/98	PCT		
	<b>HN</b>	WO 98/56820	12/17/98	PCT		
	<b>HO</b>	WO 99/06552	02/11/99	PCT		
	<b>HP</b>	WO 99/32519	07/01/99	PCT		
	<b>HQ</b>	WO 99/48921	09/30/99	PCT		
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				Filing Date <b>November 26, 2001</b>		Group <b>1646</b>			
<b>FOREIGN PATENT DOCUMENTS</b>									
Examiner Initial		Document No.	Date	Country	Translation				
					YES	NO			
	<b>HR</b>	WO 00/14229	03/16/00	PCT	X abstract				
	<b>HS</b>	WO 00/22131	04/20/00	PCT					
	<b>HT</b>	WO 00/49046	08/24/00	PCT	X abstract				
	<b>HU</b>	WO 01/07606 A1	02/01/01	PCT					
	<b>HV</b>	WO 01/09184 A1	02/08/01	PCT					
	<b>HW</b>	WO 01/12673 A1	02/22/01	PCT					
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